INTERNAL AUDIT PLAN 2015/16

Subject	Outline	Status
CORE GOVERNANCE		
Annual Governance Statement	Support and review of the AGS	Complete
Risk Management - Income Streams	Review of income streams, e.g. Car Parking, Building Control etc	In progress
Performance Management	Focus on performance of projects and programmes and in particular the role and responsibilities of CMT and Cabinet.	Planning/Terms of Reference
Governance Compliance	HR policy application by service managers: • Recruitment & Selection including induction • Capability, Grievance and Disciplinary • Training schemes	In progress
ICT	Application audits for key services ICT shared service support and review	
CORE FINANCIALS		
NNDR	Year 2 module of 3 year programme	Planning/Terms of Reference
Benefits	Year 2 module of 3 year programme	Planning/Terms of Reference
Council Tax	Year 2 module of 3 year programme	Planning/Terms of Reference
GOSS	Separate plan but encompasses Finance, Payroll aspects delivered by GOSS	Planning/Terms of Reference
GOSS	Procurement, Insurance, Health & Safety	
RISK BASED		
S106 agreements	Review of S106 agreements systems and processes	Q4
Business Continuity Management	Overall plans, service plans and service manager engagement	Q4
Accommodation and property management	Review of strategy and property management	Q4
Security	Review of buildings and personnel security	Q4
Audit Committee Effectiveness	Review of Audit Committee against appropriate guidance and standards to aid in the development of a training programme	Q4
Contract management	Review of key contracts including tender processes. Plus review of contractor use	In progress
Flood Works	Audit of the spend on flood works	Q2 - in progress
Freedom of Information	Follow-up of 2013/14 audit	In progress
Disabled Facilities Grant	Audit of Disabled Facilities Grants	Q4

CONSULTANCY		
Public Protection project support	Support and on-going advice regarding the Public Protection project	On-going
20:20 vision	Support and on-going advice regarding the 20:20 project	On-going
Other change projects	Support for other projects	N/A
OTHER AUDIT WORK		
Management	Audit Committee, governance and risk groups, high level programmes, etc	N/A
Follow-ups	Assessment of recommendation implementation	N/A
Contingency	7% operational contingency	N/A

(END)